



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Confirmation No. 5291

Mie TAKAHASHI et al. : Docket No. 2001-1464A

Serial No. 09/937,730 : Group Art Unit 1641

Filed January 8, 2002 : Examiner Gary W. Counts

CHROMATOGRAPHY SPECIMEN AND ITS MANUFACTURING METHOD

## **REQUEST FOR EXAMINER-INITIALED PTO-1449 FORM**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

Sir:

The Examiner has not considered four of the references (references "AJ"-"AM") cited on the Information Disclosure Statement (IDS) filed September 28, 2001, stating that the IDS fails to comply with the provisions of 37 CFR 1.97, 1.98 and MPEP §609 because there are no English translations of the references. However, the IDS does comply with the provisions of 37 CFR 1.97, 1.98 and MPEP §609 since the Japanese references cited on the IDS were cited in the International Search Report (ISR). An English language version of the ISR was submitted to the PTO, as evidenced by the PTO date-stamped September 28, 2001 postcard and the Notice of Acceptance, mailed on January 17, 2002, copies of which are enclosed. For the Examiner's convenience, a copy of the ISR, originally submitted on September 28, 2001, is also submitted herewith.

MPEP §609, II states that the Examiner is required to consider the documents cited in the International Search Report in a PCT national stage application when the Form PCT/DO/EO/903 [Notice of Acceptance] indicates that both the International Search Report and the copies of the documents are present in the national stage file. The Notice of Acceptance for this application clearly

indicates that the International Search Report and the documents cited therein were received by the PTO.

Additionally, the MPEP requires each IDS to include a concise explanation of the relevance of each reference not in the English language. MPEP §609, III(A)(3) states that when the information listed is not in the English language, but was cited in a search report by a foreign patent office in a counterpart foreign application, the requirement for a concise explanation of relevance is satisfied by submitting an English-language version of the search report which indicates the degree of relevance found by the foreign office. The PTO date-stamped postcard of September 28, 2001 indicates that an English language version of the International Search Report was filed with the PTO.

Additionally, Applicants note that the Japanese references referred to above correspond to the European references, cited on the IDS filed January 8, 2002, and which have already been considered by the Examiner.

For the above reasons, the Examiner is kindly requested to consider each of the references on the attached PTO-1449 form and return the initialed form to Applicants' representative.

Respectfully submitted,

Mie TAKAHASHI et al.

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Sheet 1 of 1	<del></del>							GIO	
FORM PTO 1449 (mod:fied)						SERIAL N 09/937.	RIAL NO. 937,730 H 007 , 2		
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S)  (Use several sheets if necessary)				APPLICANT			2004		
				Mie TAKAHASHI et al.			GROUP		
Date Originally Submitted to PTO: September 28, 2001				September 28, 2001			1641		
U.S. PATENT DOCUMENTS									
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS		SUBCLASS	SUBCLASS FILING DATE IF APPROPRIATE	
	AA								
	АВ								
	AC								
	AD						······································		
	AE								
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				FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	CLAS			TRANS YES	
	AJ	3-120468	5/1991	JAPAN					
	AK	3-120469	5/1991	JAPAN					
	AL	3-120470	5/1991	JAPAN					
	АМ	11-64336	3/1999	JAPAN					
	AN								
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)									
	AO								
	AP				*****				
	AQ								
EXAMINER	DATE CONSIDERED								



ATTY DOCKET # 2001 1464A

OUR REF: [2001\_1464A/MRD/01489] Due Date: October 4, 2001

Applicant Mie TAKAHASHI et al. Serial No. NEW Filing Date September 28, 2001 Title CHROMATOGRAPHY SPECIMEN AND ITS MANUFACTURING METHOD

## Receipt of the following papers is acknowledged:

- 1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371 (National stage application of PCT/JP01/00784)
- 2. Translation of International Application (43 pages) with 5 sheets drawings (A4P, Figs. 1-8)
- 3. UNEXECUTED Declaration and Power of Attorney
- 4. Information Disclosure Statement and form PTO-1449
- 5. Preliminary Amendment (10 pages) with Version With Markings To Show Changes Made
- 6. Attachments: (a) Forms PCT/IB/301, 304 and 308; (b) First page of published International Application with International Search Report in Japanese and English; and (c) Letter Concerning Spelling 'entor's Name.
- 7. Check in the amount of 1634.00

Date September 28, 2001

Attorney MRD/ach

[Check No.46738]